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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on September 24, 2001.

Raymond A. Joao
Raymond A. Joao

RJ251

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT : RAYMOND A. JOAO

SERIAL NO. : 09/639,908

FILED : AUGUST 16, 2000

FOR : APPARATUS AND METHOD FOR PROVIDING FINANCIAL INFORMATION AND/OR INVESTMENT INFORMATION

EXAMINER : P. KANOF GROUP : 2164

Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §1.97 and §1.98, Applicant respectfully requests that the documents listed on the attached INFORMATION DISCLOSURE STATEMENT BY APPLICANT form (Substitute for form 1449A/PTO) be made of record and be considered in connection with the examination of this application.

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Technology Center 2100

10-1-01

A check in the amount of \$180.00 for the required fee under 1.17(p) is submitted herewith.

Entry of this Information Disclosure Statement is respectfully requested.

Respectfully Submitted,


Raymond A. Jaso
Reg. No. 35,907

Encls.: - INFORMATION DISCLOSURE STATEMENT BY APPLICANT form
(Substitute for form 1449A/PTO) (1 sheet)
- Copies of fifteen (15) cited references
- Check for \$180.00 for the required fee

September 24, 2001

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